

National Reined Cow Horse Association Conflict of Interest Questionnaire

National Reined Cow Horse Association (“NRCHA”) requires each director, officer, contractor and advisor:

1. To review NRCHA’s Code of Conduct and Ethics.
2. To avoid any situation or relationship, whether personal or professional in nature, that actually or apparently conflicts with his or her duty to act in a fiduciary capacity to NRCHA
3. To disclose to the Board any situation or relationship that may potentially conflict with his or her duty to act in a fiduciary capacity to NRCHA; and
3. To acknowledge by his or her signature that he or she is acting in accordance with the Code of Conduct and Ethics.

The information provided on this form shall be available for inspection by members of the Board and NRCHA’s legal counsel, but shall otherwise be held in confidence except when, after consultation with the applicable Director, Officer, Staff Member, or Advisor, the Board determines that NRCHA’s best interest would be served by disclosure.

Please respond to the following questions to the best of your knowledge:

1. Prior or Anticipated Business Dealings with the NRCHA.

A. Please list all corporations, partnerships, or other entities that are seeking to do business with NRCHA in which you (directly or through any family or outside association) have or have had a financial or business interest.

B. Please list all non-affiliated equine or equine industry entities, businesses or associations in which you (directly or through any family or outside association) have or have had an advisory, financial or business interest.

C. Please list all corporations, partnerships, or other entities that compete or appear to compete with the NRCHA in which you (directly or through any family or outside association) have or have had a financial or business interest in.

D. Please list any current business dealings between NRCHA and you (directly or through any family or outside association, corporation, partnership or other entity) Describe each such relationship listed and the actual and potential financial benefits as you can best estimate them.

E. Have you (directly or through any family or outside association) accepted any benefits beyond the customary business courtesies from any corporation, partnerships, association or other organization which to your knowledge does or is seeking to do business with the NRCHA? If so, please list those corporations, partnerships, associations or other organizations.

3. Ability to Act Honestly and Ethically in the Performance of Duties at NRCHA.

Do you, directly or through any family or outside association, have any other interest or arrangement which may violate the NRCHA Code of Conduct, which may result in compromising yourself or NRCHA or may result in the impairment of your independent judgment? If so please list those interests and/or arrangements.

4. Proper Use of Confidential Information.

Have you used NRCHA confidential information to promote your own interest or the interest of others? If so, please list when, and under what circumstances this information was disclosed.

5. Legal Proceedings.

Please list any legal proceedings adverse to the interests of NRCHA pending or anticipated in which you, directly or through any family or outside association, are a party.

6. Other.

Are you aware of any other relationships, arrangements, transactions, or matters which could create a conflict of interest or the appearance of conflict? If so, please describe.

I have read and understand the NRCHA Code of Conduct and Ethics. I am currently, and agree to remain, in compliance with the Code of Conduct and Ethics. Executed as of _____, 20__.

Director, Officer, Contractor, or Advisor of NRCHA
(Print Name)

Director, Officer, Contractor, or Advisor of NRCHA
(Signature)